Project Name:	ShakeCast
OCIO Project #:	
Department:	Transportation
Revision Date:	9/15/10

Concept Statement

Description

Brief description of the proposed project:

ShakeCast, short for ShakeMap Broadcast, was developed in the mid-2000s by the United States Geologic Survey (USGS) as a tool to support dissemination and use of ShakeMap products. ShakeMap is a system for automatically generating maps of ground motion from instrumental recordings immediately following an earthquake. ShakeCast is a fully automated open-sourced system for delivering specific ShakeMap products to users and for triggering established post-earthquake response protocols. ShakeCast allows infrastructure owner/operators to automatically determine the shaking value at their facilities, set thresholds for notification of damage states for each facility and then automatically notify (via pager, cell phone, or email) specified operators, inspectors, inspectors, and others within their organizations responsibility for those particular facilities in order to prioritize inspection and response. This project will enhance ShakeCast version 2 to include additional and enhanced level of analysis.

Need Statement

High Level Functional Requirements:

- Component-based bridge fragility analysis framework.
- Full statistical interpretation of bridge fragility curves.
- Polygon/polyline facility location delineation method.
- · Landslide hazard analysis function.
- Liquefaction hazard analysis function.
- Method to present results for various facility groupings.
- User-defined HTML facility attribute for display on website.
- Automatic generation of large-scale printable map as a standard ShakeCast product.
- A recommendation for IT server deployment at Caltrans for internal use.
- Troubleshooting support and implementation of interface enhancements.

What is Driving This Need?

Although ShakeCast currently delivers critical earthquake data and bridge analysis information to responders, three primary issues remain:

- The current bridge fragility data model supported by ShakeCast limits the level of analysis and prioritization possible.
- Several new and important features have been requested by key stakeholders to allow a more comprehensive evaluation of the highway infrastructure due to other earthquake generated hazards (e.g. landslides and liquefaction).
- A sustainable maintenance and IT implementation strategy is needed.

It is proposed to pursue a followup contract with the United States Geological Survey (USGS), the original developer of ShakeCast (versions 1 and 2), to develop, deploy and support an enhanced version of ShakeCast (version 3) within Caltrans. An enhanced version is needed to add behind-the-scenes capabilities and flexibility to accommodate a broader range of bridges and user-group information that will enable dissemination of more informative, accurate, and tailored messages per requirements of Caltrans stakeholders.

Concept Statement Page 1 of 8

Project Name: ShakeCast	
OCIO Project #:	0
Department: Transportation	Concept Statement
Revision Date: 9/15/10	•

Risk to the Organization if This Work is Not Done:

If this work is not carried out, Caltrans responders would continue to receive ShakeCast services as they do currently. But, long-term sustainability of the system is dependent upon Division of Research & Innovation (DRI) resources for continued support of the system. This includes funding for equipment and materials as well as staff support for ShakeCast. Redirection of staff, insufficient funding for hardware upgrades, lack of contract for USGS technical support, or failure to develop a sound IT deployment plan, could jeopardize continued and reliable ShakeCast services for Caltrans responders. Furthermore, if this work is not pursued, ShakeCast cannot be enhanced to implement comprehensive evaluation of the highway infrastructure due to other earthquake generated hazards (e.g. landslides and liquefaction). Nor, will ShakeCast support the more advanced bridge fragilities needed for a more rigorous analysis.

Concept Statement Page 2 of 8

Project Name:	ShakeCast
OCIO Project #:	
Department:	Transportation
Revision Date:	9/15/10

Concept Statement

Benefit Statement

Intangible Benefits

Process Improvements (describe the nature of the process improvement):

- 1. ShakeCast Phase 3 will add a scenario planning and evaluation tool, that can generate any number of possible earthquake situations and analyze the performance of the bridge inventory.
- 2. Improvements to bridges (e.g. seismic strengthening) can be tested against past and scenario earthquakes to assess effectiveness of the improvements.

Other Intangible Benefits:

- 1. ShakeCast provides a more focused post-earthquake response.
- 2. ShakeCast allows for more effective use of limited staff resources.
- 3. Caltrans management is better informed and can communicate the post bridge inspection situation.
- 4. District Traffic Management Centers (TMC) and Emergency Operation Centers (EOC) are informed so they can more effectively coordinate with the California Highway Patrol (CHP).

Tangible Benefits

Revenue Generation (describe how revenue will be generated):	
To Be Determined in the Feasibility Study.	
Cost Savings (describe how cost will be reduced):	
To Be Determined in the Feasibility Study.	

Concept Statement Page 3 of 8

OCIO Project #:			Concont Stator	
Department: Transportation Revision Date: 9/15/10			Concept Statem	
Revision Date: 9/15/10				
Cost Avoidance (describe the				
To Be Determined in the Fe	asibility Study.			
Disk Assistance (1. 11. 11.				
Risk Avoidance (describe the To Be Determined in the Fe				
Improved Services: 1. The new tools and inform the Districts.	nation provided by this p	hase of ShakeCast may result in changes to emer	gency response procedures at headquarters a	
1. The new tools and inform	nation provided by this p	hase of ShakeCast may result in changes to emer	gency response procedures at headquarters a	
The new tools and inform the Districts.	nation provided by this p			
1. The new tools and inform	nation provided by this p	Consistency	gency response procedures at headquarters a Action Required	
The new tools and inform the Districts. "No" Responses	->	Consistency		
The new tools and inform the Districts. "No" Responses Enterprise Architecture	Yes	Consistency		
The new tools and inform the Districts. "No" Responses Enterprise Architecture Business Plan	Yes Yes	Consistency Rationale		
The new tools and inform the Districts. "No" Responses Enterprise Architecture Business Plan	Yes Yes Yes	Consistency		
1. The new tools and inform the Districts. "No" Responses Enterprise Architecture Business Plan Strategic Plan of Impact to Other Enti	Yes Yes Yes Yes	Consistency Rationale Impact to Other Entities		
1. The new tools and inform the Districts. "No" Responses Enterprise Architecture Business Plan Strategic Plan of Impact to Other Enti	Yes Yes Yes Yes ities	Consistency Rationale Impact to Other Entities		

Concept Statement Page 4 of 8

Project Name: ShakeCast	
OCIO Project #: Department: Transportation	Concept Statement
Revision Date: 9/15/10	
Describe the nature of the impact:	
ShakeCast provides an analysis of the school buildings within the school district. The new	version of the tools provide a possibility that the involvement will
lead to a more formalized partnerships in extending ShakeCast functionality.	
Entity: Walmart	
Describe the nature of the impact:	
Walmart uses the ShakeCast analysis to determine the earthquake impact on their store bu	illdings and facilities.
Entity:	
Describe the nature of the impact:	

Concept Statement Page 5 of 8

OCIO Project #: Department: Transportation Revision Date: 9/15/10	ion		Concept Stater
Revision Date: 9/15/10			
	s	Solution Alternatives	
		Alternative 1:	
To Be Determined in the Feasi	bility Study.		
	Techn	nical Considerations for Alternative	e 1:
ROM Cost:	to	Note: high en	d of range must not exceed 200% of low end of range
		Alternative 2:	
	Techn	nical Considerations for Alternative	2:
ROM Cost:	to	Note: high en	d of range must not exceed 200% of low end of range
NOW COSt.	10	Note: High en	d of range must not exceed 200 % of low end of range
		Alternative 3:	
		Alternative 3.	

Concept Statement Page 6 of 8

roject name: Snakecast		
OCIO Project #:		Oanaant Otalamaant
Department: Transportation		Concept Statement
Revision Date: 9/15/10		
<u> </u>		
	Technical Considera	tions for Alternative 3:
	Toomingal Conclusion	inicino ioi viitoriiauvo oi
ROM Cost:	to	Note: high end of range must not exceed 200% of low end of range
NOW Cost.	to	Note. Thigh end of range must not exceed 200 % of low end of range
	Recommen	dation
Comparison:		
Alternative 1	ROM Cost	Risk
	\$0 - \$0	
Alternative 2	ROM Cost	Risk
	\$0 - \$0	
Alternative 3	ROM Cost	Risk
	\$0 - \$0	
Conclusions:		
1		
2		
2		

Concept Statement Page 7 of 8

Project Name: OCIO Project #: Department: Revision Date:	Transportation			(Concept Statemen
Recommend	ation:				
		Project Appro	each (# known)		
	Complexity:	5	System Business Hour	S: (e.g., 24x7, 9am-5pm _,	
Architecture	☐ Mainframe	☐ Client Server	☐ Web Based		Num. of New Databases:
Technology	□ New	☐ New to Staff	☐ In-House Exper	ience	Interfaces:
Implementation	☐ Central Site	☐ Phased Roll-out			Num. of Sites:
M & O Support	□ Contractor	☐ Data Center	□ Project	□ In House	
Procurement App	roach:				Number of Procurement
Open Procuremer	nt?	Delegated Procurement?			
Scope of Contract	t □ Develop	oment	□ M & O	☐ Other:	
A 4! - ! 4 1 4	h of Contract:	Years /		tensions for	years

Concept Statement Page 8 of 8